

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on October 9, 2001

Date of Deposit

Jasper W. Dockrey

Name of applicant, assignee or
Registered Representative

Signature

October 9, 2001

Date of Signature

Case No. 10519/29

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MICHAEL A. VYVODA et al.

Serial No.: 09/918,853

Filed: July 30, 2001

For: PROCESS FOR FABRICATING
A DIELECTRIC FILM USING
PLASMA OXIDATION

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated September 14, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

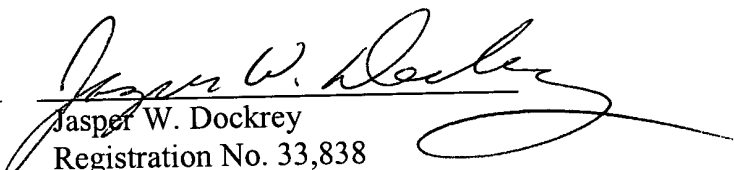


Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$130.00 for:
- ☐ Filing Fee of \$_____.
- ☒ Surcharge of \$130.00.
- ☐ Additional Claim Fees of \$_____.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: _____.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: October 9, 2001


Jasper W. Dockrey
Registration No. 33,838
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

Section 2

TRANSMITTAL LETTER			Case No. 10519/29
Serial No. 09/918,853	Filing Date July 30, 2001	Examiner Unassigned	Group Art Unit 2812
Inventor(s) MICHAEL A. VYVODA, N. JOHAN KNALL and JAMES M. CLEEVES			
Title of Invention PROCESS FOR FABRICATING A DIELECTRIC FILM USING PLASMA OXIDATION			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Transmittal Letter (*in dupl.*), Response to Notice to File Missing Parts (*in dupl.*), Copy of Notice to File Missing Part of Application Filing Date Granted, Power of Attorney, Declaration, Check in the amount of \$130.00 and a return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

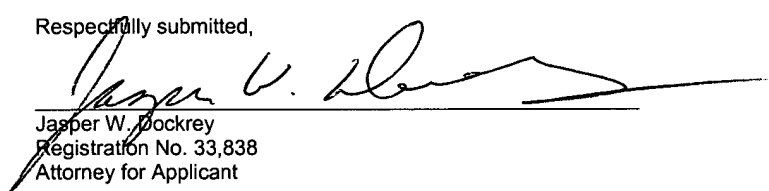
or

Other Than Small Entity

Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$130.00 to cover the Declaration Surcharge filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Jasper W. Dockrey
Registration No. 33,838
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 9, 2001.

Date: October 9, 2001

Signature: 

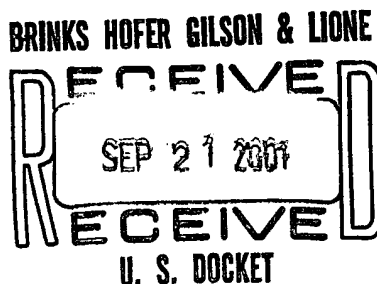


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/918,853	07/30/2001	Michael A. Vyvoda	10519/29

BRINKS HOFER GILSON & LIONE
SUITE 3600
455 NORTH CITYFRONT PLAZA DRIVE
CHICAGO, IL 60611



CONFIRMATION NO. 6398
FORMALITIES LETTER



Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/15/2001 EASUBAK1 00000108 09918853

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130.00 DP